



Legislation Details (With Text)

File #: O-20-13

Type: Ordinance

Status: Enacted

File created: 5/4/2020

In control: City Council

Final action: 6/15/2020

Enactment date: 6/30/2020

Enactment #: O-2020-019

Title: F/S Amending Article XII, Section XIII, Section 1 Of The City Charter; Article XIII, Sections 4, 5, 9, And 10 Of The City Charter; Article XVI, Sections 6 And 16 Of The City Charter; Chapter 2, Article 3, Section 8, ROA 1994; And Chapter 2, Article 4, Section 13 ROA 1994 To: Modify The Frequency The Board Of Ethics Must Meet; Eliminate The Board Of Ethics Required Report Submitted To The Mayor And City Council And The Need For The Board To Contract With Outside Counsel To Write Advisory Opinions; Grant The Board Of Ethics Chairman Authority To Avoid Dismissal Of An Unresolved Complaint Upon A Showing Of Good Cause; Remove The Mandatory Meeting Between Candidates And The Board Of Ethics And Campaign Practices On The Friday Before An Election; Update When And With Whom Campaign Material Is To Be Filed; Changing The Requirements For The Campaign And Election Auditor; Update The Enforcement Provisions To Remove Duplication With The Rules Of The Board Of Ethics And To Clarify That A Candidate Is Subject To The Enforcement Provisions For Failure To Pay A Penalty To The City Clerk; Clarifying When Applicant Candidates Can Accept Seed Money; Removing The Matching Funds Provision Of The City Charter; Aligning Lobbyist Disclosures With Their Required Quarterly Activity Reports; Adjusting Deadline For Mayoral And Councilor Candidates To Submit Qualifying Petitions To The City To Account For Changes In State Law (Gibson, by request)

Sponsors:

Indexes:

Code sections:

Attachments: 1. O-13, 2. O-13 Approved Floor Substitute.pdf, 3. FS O-13Enacted

Date	Ver.	Action By	Action	Result
6/30/2020	2	City Clerk	Published	
6/26/2020	2	Mayor	Signed by the Mayor	
6/19/2020	2	City Council	Sent to Mayor for Signature	
6/15/2020	2	City Council	Amended	Pass
6/15/2020	2	City Council	Passed as Amended	Pass
6/1/2020	1	City Council	Substituted	Pass
6/1/2020	1	City Council	Postponed as Substituted	Pass
5/18/2020	1	City Council	Postponed	Pass
5/4/2020	1	President	To be heard at the Council Meeting	
5/4/2020	1	City Council	Introduced	

CITY of ALBUQUERQUE
TWENTY FOURTH COUNCIL

COUNCIL BILL NO. F/S O-20-13 ENACTMENT NO. _____

SPONSORED BY: Diane G. Gibson, by request

ORDINANCE

F/S Amending Article XII, Section XIII, Section 1 Of The City Charter; Article XIII, Sections 4, 5, 9, And 10 Of The City Charter; Article XVI, Sections 6 And 16 Of The City Charter; Chapter 2, Article 3, Section 8, ROA 1994; And Chapter 2, Article 4, Section 13 ROA 1994 To: Modify The Frequency The Board Of Ethics Must Meet; Eliminate The Board Of Ethics Required Report Submitted To The Mayor And City Council And The Need For The Board To Contract With Outside Counsel To Write Advisory Opinions; Grant The Board Of Ethics Chairman Authority To Avoid Dismissal Of An Unresolved Complaint Upon A Showing Of Good Cause; Remove The Mandatory Meeting Between Candidates And The Board Of Ethics And Campaign Practices On The Friday Before An Election; Update When And With Whom Campaign Material Is To Be Filed; Changing The Requirements For The Campaign And Election Auditor; Update The Enforcement Provisions To Remove Duplication With The Rules Of The Board Of Ethics And To Clarify That A Candidate Is Subject To The Enforcement Provisions For Failure To Pay A Penalty To The City Clerk; Clarifying When Applicant Candidates Can Accept Seed Money; Removing The Matching Funds Provision Of The City Charter; Aligning Lobbyist Disclosures With Their Required Quarterly Activity Reports; Adjusting Deadline For Mayoral And Councilor Candidates To Submit Qualifying Petitions To The City To Account For Changes In State Law

(Gibson, by request)

AMENDING ARTICLE XII, SECTION XIII, SECTION 1 OF THE CITY CHARTER; ARTICLE XIII, SECTIONS 4, 5, 9, AND 10 OF THE CITY CHARTER; ARTICLE XVI, SECTIONS 6 AND 16 OF THE CITY CHARTER; CHAPTER 2, ARTICLE 3, SECTION 8, ROA 1994; AND CHAPTER 2, ARTICLE 4, SECTION 13 ROA 1994 TO: MODIFY THE FREQUENCY THE BOARD OF ETHICS MUST MEET; ELIMINATE THE BOARD OF ETHICS REQUIRED REPORT SUBMITTED TO THE MAYOR AND CITY COUNCIL AND THE NEED FOR THE BOARD TO CONTRACT WITH OUTSIDE COUNSEL TO WRITE ADVISORY OPINIONS; GRANT THE BOARD OF ETHICS CHAIRMAN AUTHORITY TO AVOID DISMISSAL OF AN UNRESOLVED COMPLAINT UPON A SHOWING OF GOOD CAUSE; REMOVE THE MANDATORY MEETING BETWEEN CANDIDATES AND THE BOARD OF ETHICS AND CAMPAIGN PRACTICES ON THE FRIDAY BEFORE AN ELECTION; UPDATE WHEN AND WITH WHOM CAMPAIGN MATERIAL IS TO BE

FILED; CHANGING THE REQUIREMENTS FOR THE CAMPAIGN AND ELECTION AUDITOR; UPDATE THE ENFORCEMENT PROVISIONS TO REMOVE DUPLICATION WITH THE RULES OF THE BOARD OF ETHICS AND TO CLARIFY THAT A CANDIDATE IS SUBJECT TO THE ENFORCEMENT PROVISIONS FOR FAILURE TO PAY A PENALTY TO THE CITY CLERK; CLARIFYING WHEN APPLICANT CANDIDATES CAN ACCEPT SEED MONEY; REMOVING THE MATCHING FUNDS PROVISION OF THE CITY CHARTER; ALIGNING LOBBYIST DISCLOSURES WITH THEIR REQUIRED QUARTERLY ACTIVITY REPORTS; ADJUSTING DEADLINE FOR MAYORAL AND COUNCILOR CANDIDATES TO SUBMIT QUALIFYING PETITIONS TO THE CITY TO ACCOUNT FOR CHANGES IN STATE LAW.

WHEREAS, in 2001 the City of Albuquerque adopted the Lobbyist and Lobbyist Organization Registration and Disclosure Ordinance; and

WHEREAS, the Lobbyist and Lobbyist Organization Registration and Disclosure Ordinance was last amended in 2019; and

WHEREAS, the City seeks to encourage transparency and accountability in government; and

WHEREAS, the City intends to unify and simplify its processes whenever practicable.

BE IT ORDAINED BY THE COUNCIL, THE GOVERNING BODY OF THE CITY OF ALBUQUERQUE:

SECTION 1. Article XII, Section 3 is amended as follows:

CITY CHARTER, ART. XII, CODE OF ETHICS

Section 3. BOARD OF ETHICS AND CAMPAIGN PRACTICES.

(f) The Board shall:

- (1) meet as necessary;
- (2) receive and investigate complaints regarding possible violations of the Code of Ethics, the Election Code, the Open and Ethical Elections Code, and/or rules promulgated by the Board and/or the City Clerk;
- (3) hold hearings when necessary to determine whether there has been a breach as alleged in a complaint;
- (4) contract for professional services through the City Clerk as necessary to carry out duties;
- (5) provide the Mayor and City Council with a report following each municipal election year stating the number of complaints that were submitted to the City Clerk alleging a violation of

the Code of Ethics, the Election Code, the Open and Ethical Elections Code, and/or rules promulgated by the Board and/or the City Clerk, the number of complaints submitted to the Board by the City Clerk, and a summary of the status of each complaint to include the date of the complaint, the date for the case to be heard, and date for possible final action. The report called for in this section shall be submitted to Council by no later than the July after each municipal election year, and shall also include any recommendations regarding amendments to city ethics or public accountability laws, and recommendations regarding the scope of the Board and/or City Clerk's powers and duties. The annual status reports must be approved by the Board and made available to the public on the City Clerk's website. Status reports may be provided to Mayor and City Councilors and their staff on an informal basis as appropriate.

. . .

(h) The Board may issue to candidates, officials, and lobbyists advisory opinions concerning the Code of Ethics, the Election Code, the Open and Ethical Elections Code, and rules promulgated by the Board and/or the City Clerk. Candidates and officials shall make all requests for advisory opinions in writing to the City Clerk. Draft advisory opinions may be prepared by Board members for consideration by the Board, or may be assigned to an outside, independent legal counsel for preparation. The City Clerk shall maintain a contract with outside, independent legal counsel during each election cycle for this purpose. Any draft advisory opinions shall be submitted to the Board of Ethics for consideration of approval within fourteen (14) days of a written request for advisory opinion being received by the City Clerk. Within thirty (30) days of receiving the proposed advisory opinion, the Board shall vote to adopt or decline to adopt the proposed advisory opinion as written. If the Board of Ethics declines the proposed advisory opinion, the Board of Ethics will either direct the City Clerk, Board member, or outside counsel to amend the proposed opinion as appropriate or to draft a new advisory opinion for consideration for adoption at its next meeting, which shall be held within fourteen (14) days. Official advisory opinions will be issued only upon the Board's approval. All timeframes noted in this subsection are mandatory unless the City Clerk or the Board notifies the requester in writing of a delay in issuance and with specificity as to that request, the reason for the delay, and continues to notify the requester in writing every thirty (30) days until the advisory opinion is issued, which in all cases shall be within one hundred eighty (180) days of the request.

An advisory opinion shall become public record at the time the Board votes on such opinion. Advisory opinions shall only concern prospective matters. Specifically, no advisory opinion shall

be issued with respect to an event that has already occurred. Advisory opinions shall only be issued with respect to conduct in which the requestor of the opinion intends to engage. No advisory opinion shall be given unless approved by four members of the Board. Approved opinions shall be kept as permanent records by the City Clerk. The Board may promulgate rules and regulations establishing procedures for issuing advisory opinions and limitations on the use of advisory opinions as a defense to violations of the Code of Ethics or the Election Code. The Board may issue consent orders and may promulgate rules and regulations establishing procedures of issuance of consent orders.

(i) Limitations on Complaints.

(3) All complaints referred to the Board by the City Clerk at any time prior to sixty (60) days before election day shall be resolved, determined, or dismissed by the Board within sixty (60) days from the referral of the complaint. If the complaint is not resolved within sixty (60) days, the complaint shall be dismissed, unless delayed by the Chair of the Board upon a showing of good cause.

(4) All complaints referred to the Board by the City Clerk during the sixty (60) day period preceding election day shall be resolved, determined, or dismissed by the Board within thirty (30) days from the referral of the complaint. If the complaint is not resolved within thirty (30) days, the complaint shall be dismissed, unless delayed by the Chair of the Board upon a showing of good cause.

SECTION 2. Article XIII, Sections 4, 5, 9, and 10 are amended as follows:

CITY CHARTER, ART. XIII, ELECTION CODE

Section 4. CAMPAIGN FINANCING.

(i) Campaign Finance Records.

Section 5. CAMPAIGN MATERIALS.

Each candidate and each chairperson of each Measure Finance Committee shall ensure that all campaign materials specify the name of the campaign or committee who authorized the printing or distribution of such material and the name and address of the establishment that printed or otherwise created the campaign materials; provided, that the name and address of the printing establishment is not required to be specified in a newspaper advertisement. Each candidate and each chairperson of each Measure Finance Committee shall also ensure that a copy of each

campaign material used in the campaign is filed with the City Clerk's Office on or prior to the day the financial report disclosing the expenditure for said campaign material is due.

Section 9. RULES AND REGULATIONS, ASSISTANCE; CAMPAIGN AND ELECTION AUDITOR.

(b) There is hereby created the position of Campaign and Election Auditor. The Auditor shall be a Certified Public Accountant, a Registered Public Accountant, or have other qualified campaign finance experience.

Section 10. ENFORCEMENT.

(b) Notwithstanding any other provision in this section, the Board and/or the City Clerk may, on their own initiative, initiate a charge or charges that a candidate or the chairperson of a Measure Finance Committee or any other group has committed a violation of this Election Code or of the Rules and Regulations promulgated by the Board or the City Clerk. In the event that inspection and/or audit of the candidates or Measure Finance Committees campaign financial records made pursuant to Section 4(j)3 results in a charge or charges against a candidate or Measure Finance Committee, written notice to the candidate or chairperson of the charge or charges shall not be required before the Board takes further action.

(c) Should the Board find, after due hearing, that a violation of this Election Code or the Rules and Regulations of the Board has occurred, it may, for each violation, issue a public reprimand or impose a fine not to exceed the maximum amount authorized by state law, or do both.

(d) Automatic Fines. Notwithstanding subsection (d) of this section, a failure to file or late filing shall subject the candidate or the chairperson of the Measure Finance Committee or any other obligated person, whichever may be the case, to an automatic fine and public reprimand according to the schedules established in the Rules and Regulations of the Board. In situations where there is an incomplete filing the candidate or the chairperson of the Measure Finance Committee shall be notified of the failure and shall have ten days from the date of notice to correct the failure. If the incomplete filing is not corrected within ten days there shall be an automatic fine and public reprimand. If the failure is corrected within ten days and the Board determines from the face of the filings that any failure appears to have been inadvertent and made in good faith, the Board shall find that there has been no violation.

(e) In addition to imposing such sanctions, or as an alternative thereto, and if the violator

be a successful candidate in the election, the Board may recommend to the Council that the violator be removed from office.

(f) The Council may, upon the recommendation of the Board, and after due hearing of the charge, order the suspension or removal of an elected official; provided, however, that no official shall be removed or suspended except upon the concurrence of two-thirds of the Councilors qualified to vote thereon.

(g) An appeal may be taken from any findings and action of the Board pursuant to subsections (d) and (e) of this section and from any order of the Council pursuant to subsection (g) of this Section to the District Court of the Second Judicial District, by filing Notice of Appeal in the said District Court within five days of the date of the action or order appealed from, and by filing with said District Court within thirty days a true transcript and record of the proceedings upon which said action or order is based. The hearing in District Court shall be held on said transcript and record only, and new testimony shall not be taken.

(h) All fines not timely paid shall be assessed interest at the maximum rate allowed by state law commencing on the thirty-first day following the date that the fine was imposed.

(i) Any fines collected under this section shall be placed into the City's General Fund.

(j) Any candidate who fails or refuses to file a report of expenditures and contributions or statement of no activity or to pay a penalty imposed by the City Clerk as required by Section 4 of Article XIII shall not, in addition to any other penalties provided by law:

(1) have the candidate's name printed upon the ballot if the violation occurs before and through the final date for the withdrawal of candidates; or

(2) be issued a certificate of nomination or election, if the violation occurs after the final date for withdrawal of candidates or after the election, until the candidate satisfies all reporting requirements of Article XIII, Section 4, and pays all penalties owed.

(k) Any candidate who loses an election and who failed or refused to file a report of expenditures and contributions or a statement of no activity or to pay a penalty imposed by the City Clerk or Board of Ethics for a violation of the Code of Ethics, the Election Code, the Open and Ethical Elections Code, or Rules and Regulations promulgated by the City Clerk or the Board of Ethics, shall not be, in addition to any other penalties provided by law, permitted to file a declaration of candidacy or nominating petition for any future election until the candidate satisfies all reporting requirements and pays all penalties owed.

SECTION 3. Article XVI, Sections 6 is amended as follows, with the subsequent sections to be renumbered accordingly. Article XVI, Section 16, "MATCHING FUNDS - OPPOSING FUNDS" is deleted in its entirety, with the subsequent sections to be renumbered accordingly.

CITY CHARTER, ARTICLE XVI. OPEN AND ETHICAL ELECTIONS CODE

Section 6. SEED MONEY-IN-KIND CONTRIBUTIONS.

(A) An Applicant Candidate may accept Seed Money not to exceed \$250.00 per Person.

SECTION 4. Chapter 2, Article 3, Section 8 is amended as follows:

ARTICLE 3: LOBBYIST REGISTRATION

§ 2-3-8 LOBBYING CAMPAIGN DISCLOSURE, BANK ACCOUNT AND EXPENDITURE REQUIREMENTS AND RETENTION OF RECORDS.

(B) Disclosure of Lobbyist Campaigning Activities.

(2) The disclosure required in this statement shall be filed quarterly, and as a component of the quarterly activity reports required in § 2-3-4. In the event that no expenditures have been made and no contributions have been received, the lobbyist or lobbyist organization shall submit the quarterly registration and affirm a statement of no activity.

SECTION 5. Chapter 2, Article 4, Section 13 is amended as follows:

CHAPTER 2: GOVERNMENT

ARTICLE 4: MUNICIPAL ELECTIONS; PETITIONS

§ 2-4-13 FILING OF PETITIONS.

(H) All Candidates who submitted a petition in accordance with Section 4, Article II of the City Charter shall file the results of the City Clerk's petition signature verification and their Declaration of Candidacy with the proper filing officer on the prescribed filing day in accordance with Section 1-22-3.2(D) NMSA 1978 or Section 1-22-7 NMSA 1978.

SECTION 6. SEVERABILITY CLAUSE. If any section, paragraph, word or phrase of this ordinance is for any reason held to be invalid, or unenforceable by any court of competent jurisdiction, such decision shall not affect the validity of the remaining provisions of this ordinance. The Council hereby declares that it would have passed this ordinance and each section, paragraph, sentence, clause, word or phrase thereof irrespective of any provision being declared unconstitutional or otherwise invalid.

SECTION 7. COMPILATION. Sections 1, 2, and 3 of this ordinance shall amend, be incorporated in, and made part of the City Charter and Sections 4 and 5 shall amend, be incorporated in, and made part of the Revised Ordinances of Albuquerque, New Mexico, 1994.

SECTION 8. EFFECTIVE DATE. This ordinance shall take effect five (5) days after publication by title and general summary.

X:\CL\SHARE\CL-Staff\Legislative Staff\Legislation\24 Council\O-13FSfinal.docx