

CITY of ALBUQUERQUE

TWENTY THIRD COUNCIL

COUNCIL BILL NO. R-19-116 ENACTMENT NO. _____

SPONSORED BY: Klarissa J. Peña, by request

1 RESOLUTION

2 APPROVING AND AUTHORIZING THE ACCEPTANCE OF THE ATTACHED U.S.
3 DEPARTMENT OF HEALTH AND HUMAN SERVICES, SUBSTANCE ABUSE
4 AND MENTAL HEALTH SERVICES ADMINISTRATION (SAMHSA) GRANT
5 AWARDS FOR ASSISTED OUTPATIENT TREATMENT AND PROVIDING AN
6 APPROPRIATION TO THE DEPARTMENT OF FAMILY AND COMMUNITY
7 SERVICES, BEGINNING IN FISCAL YEAR 2019.

8 WHEREAS, the City of Albuquerque has as a goal to ensure people of all
9 ages have the opportunity to participate in the community and economy and
10 are well sheltered, safe healthy and educated; and

11 WHEREAS, the City of Albuquerque applied for an Assisted Outpatient
12 Treatment (AOT) project grant funds in 2016 from SAMHSA to assist in
13 ensuring it's residents are safe and healthy; and

14 WHEREAS, the City of Albuquerque was recommended to receive these
15 project grant funds from SAMHSA in September 2018; and

16 WHEREAS, the City of Albuquerque desires to receive these funds in order
17 to provide Assisted Outpatient Treatment services and coordination of opioid
18 overdose prevention and outreach efforts.

19 BE IT RESOLVED BY THE COUNCIL, THE GOVERNING BODY OF THE CITY OF
20 ALBUQUERQUE:

21 Section 1. That the attached grant agreements for Assisted Outpatient
22 Treatment from the Substance Abuse Mental Health Services Agency, in the
23 amount of \$3,777,929 are approved and its acceptance and filing with the
24 appropriate official of the U.S. Department of Health and Human Services is in
25 all respects approved.

[Bracketed/Underscored Material] - New
[Bracketed/Strikethrough Material] - Deletion

Section 2. That funds in the amount of \$957,625 and \$25,000 for year one and additional funds in the amount of 2,795,304 for the next three fiscal years, are hereby appropriated to the Department of Family and Community Services in the Operating Grants Fund(265), beginning in Fiscal Year 2019, as follows:

<u>Fiscal Year</u>	<u>Award</u>
2019	957,625(Includes \$3,281 IDOH)
2019	25,000
2020	926,436(Includes \$3,281 IDOH)
2021	930,930(Includes \$3,281 IDOH)
2022	937,938(Includes \$3,281 IDOH)

[Bracketed/Underscored Material] - New
[Bracketed/Strikethrough Material] - Deletion



CITY OF ALBUQUERQUE
Albuquerque, New Mexico
Office of the Mayor

INTER-OFFICE MEMORANDUM

October 29, 2018

TO: Ken Sanchez, President, City Council

FROM: Timothy M. Keller, Mayor

SUBJECT: Resolution approving and authorizing the acceptance of the attached U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA) Grant Awards for Assisted Outpatient Treatment and providing an appropriation to the Department of Family and Community Services, beginning in Fiscal Year 2019.

This Resolution would authorize the Mayor to accept the Grant Awards from the U.S. Department of Health and Human Services for funding. The funding in the amount of \$982,625 to be received from the grant awards is provided to reimburse the City for the cost of program expenditures.

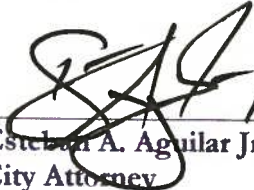
Legislation Title: Resolution approving and authorizing the acceptance of the attached U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration(SAMHSA) Grant Awards for Assisted Outpatient Treatment and providing an appropriation to the Department of Family and Community Services, beginning in Fiscal Year 2019.

Approved:



Sarita Nair 12/1/18
Date
 Chief Administrative Officer

Approved as to Legal Form:



pp Esteban A. Aguilar Jr. 11-19-18
Date
City Attorney

Recommended:



Carol M. Pierce, Director 11/2/18
Date
Dept. of Family & Community Services

Cover Analysis

1. What is it?

Resolution approving and authorizing the acceptance of the attached U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA) Grant Awards for Assisted Outpatient Treatment and providing an appropriation to the Department of Family and Community Services, beginning in Fiscal Year 2019.

2. What will this piece of legislation do?

Approve and authorize the acceptance of grant awards for an Assisted Outpatient Treatment Program and provide an appropriation to the Department of Family and Community Services.

3. Why is this project needed?

To provide Assisted Outpatient Treatment Services and Coordination of opioid overdose prevention and outreach services.

4. How much will it cost and what is the funding source?

The grant will provide 3,777,929 as follows: \$982,625 for year one; 926,436 for year two; 930,930 for year three and 937,938 for year four. The Funding source is from the U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration(SAMHSA).

5. Is there a revenue source associated with this contract? If so, what level of income is projected?

Yes. 100%.

FISCAL IMPACT ANALYSIS

TITLE: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration SAMHSA

R: O:
FUND: 265

DEPT: Family and Community Services

No measurable fiscal impact is anticipated, i.e., no impact on fund balance over and above existing appropriations.

(If Applicable) The estimated fiscal impact (defined as impact over and above existing appropriations) of this legislation is as follows:

	2019	Fiscal Years 2020	2021	2022	Total
Base Salary/Wages	23,108	23,108	23,108	23,108	92,432
Temporary Wages	-	-	-	-	-
Fringe Benefits at 50.280%	11,619	11,619	11,619	11,619	46,475
Fringe Benefits at Subtotal Personnel	34,727	34,727	34,727	34,727	138,907
Operating Expenses	944,617	888,428	892,922	899,930	3,625,897
Property					
Indirect Costs @ 14.20%	3,281	3,281	3,281	3,281	13,125
Total Expenses	\$ 982,625	\$ 926,436	\$ 930,930	\$ 937,938	\$ 3,777,929
[] Estimated revenues not affected					
[x] Estimated revenue impact					
Amount of Grant	982,625	926,436	930,930	937,938	3,777,929
City Cash Match	-	-	-	-	-
City Inkind Match	-	-	-	-	-
City IDOH	-	-	-	-	-
Total Revenue	\$ 982,625	\$ 926,436	\$ 930,930	\$ 937,938	\$ 3,777,929

These estimates do not include any adjustment for inflation.

* Range if not easily quantifiable.

Number of Positions created 0.3 FTE

COMMENTS: Grant Agreements for Assisted Outpatient Treatment from the U.S. Department of Health Substance Abuse and Mental Health Services Administration in the amount of 3,777,929. Provide Assisted Outpatient Treatment services and coordination of opioid overdose prevention and outreach efforts. Services will begin in FY19 and additional funds for the next three fiscal years.

COMMENTS ON NON-MONETARY IMPACTS TO COMMUNITY/CITY GOVERNMENT:

PREPARED BY:

[Signature] 10/29/18
FISCAL ANALYST

APPROVED:

[Signature] 11/2/18
DIRECTOR (date)

REVIEWED BY:

[Signature]
EXECUTIVE BUDGET ANALYST

[Signature] 11/9/18
BUDGET OFFICER (date)

[Signature] 11/8/18
CITY ECONOMIST

DEPTID	DEPARTMENT DESCRIPTION	LOCATION	POSITION NUMBER	JOBTTITLE	GRADE	STEP	UNION CD	REG/TEMP	Total Hourly Rate
2917200		10000	10000638	Community Services Manager	E18	6		REG	38.38
2980000		10000	10004292	Fiscal Officer	E16	6		REG	30.76
		# Employees	Hrs	Salary	Blended Rate		Rate for OEB Health		
	Community Services Manager	0.25	520	\$ 19,957.60	31.57%	\$ 6,300.61	18.7117%	\$ 3,734.41	
	Fiscal Officer	0.05	102.4	\$ 3,150.40	31.57%	\$ 994.58	18.7117%	\$ 589.49	
				\$ 23,108.00	31.57%	\$ 7,295.20	18.7117%	\$ 4,323.90	
	PERA	20.54%							
	Medicare	1.45%							
	Soc Sec	6.20%							
	RHCA	2%							
	Group Life	0.83%							
	Unemp comp	0.25%							
	Ins Admin	0.30%							
		31.57%							
							14.20%		
							3,281.34	Indirect Costs	
OEB		18.7117%							



Notice of Award

Issue Date: 09/12/2018

AOT
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

Grant Number: 1H79SM063539-01
FAIN: H79SM063539
Program Director: Ellen Braden

Project Title: Albuquerque/Bernalillo County Assisted Outpatient Treatment (AOT) Project

Grantee Address	Business Address
CITY OF ALBUQUERQUE Carol Pierce Family & Community Services 400 Marquette NW Albuquerque, NM 871022117	Sarita Nair Family & Community Services Director City of Albuquerque 400 Marquette NW Suite 504 Albuquerque, NM 871022117

Budget Period: 09/30/2018 – 09/29/2019

Project Period: 09/30/2018 – 09/29/2022

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$957,625 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CITY OF ALBUQUERQUE in support of the above referenced project. This award is pursuant to the authority of Section 224 of PAMA and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1H79SM063539-01**Award Calculation (U.S. Dollars)**

Other	\$957,625
Direct Cost	\$957,625
Approved Budget	\$957,625
Federal Share	\$957,625
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$957,625

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$957,625
2	\$926,436
3	\$930,930
4	\$937,938

*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.997
EIN:	1856000102B1
Document Number:	16SM63539A
Fiscal Year:	2018

IC	CAN	Amount
SM	C96J670	\$957,625

IC	CAN	2018	2019	2020	2021
SM	C96J670	\$957,625	\$926,436	\$930,930	\$937,938

SM Administrative Data:

PCC: AOT / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM063539-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79SM063539-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM Special Terms and Conditions – 1H79SM063539-01

REMARKS

FY 2018 New Award

1. This Notice of Award (NoA) is issued to inform your organization that the application submitted through the funding opportunity **#SM-16-011 Assisted Outpatient Treatment Grant Program for Individuals with Serious Mental Illness (AOT)** has been selected for funding.

1a) This award **conditionally** approves the budget submitted **June 16, 2016** as part of the application by your organization. The approved amount of **\$957,625** was moved to the 'Other' budget category. This amount is restricted and may not be used for any purpose until the recipient has responded to the Special Condition of Award. Once the Special Condition of Award is lifted by the Division of Grants Management, a revised Notice of Award will be issued to allocate the funds among the budget categories.

2. Recipients are expected to plan their work to ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

3. All responses to award terms and conditions and prior approval requests must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading **"4 Additional Materials – grantee"** in the User Guide located at:

4. Register Program Director/Project Director (PD) in eRA Commons:

If you have not already done so, you must register the PD listed on the HHS Checklist in eRA Commons to assign a Commons ID. Once the PD has received their Commons ID, please send this information to your Grants Management Specialist. You can find additional information about the eRA Commons registration process at https://era.nih.gov/reg_accounts/register_commons.cfm.

Key Staff

Key staff (or key staff positions, if staff has not been selected) are listed below:

Ellen Braden, Project Director @ unstated % level of effort

Unnamed, Evaluator @ unstated % level of effort

Recipient must identify an Evaluator person for the position listed above

Any changes in key staff including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project, requires prior approval. Reference the Prior Approval Standard Term for additional information and instructions.

SPECIAL TERMS

Disparity Impact Statement (DIS)

By **November 30, 2018** you must submit via eRA Commons.

The DIS should be consistent with information in your application regarding access, *service use and outcomes for the program and include three components as described below. Questions about the DIS should be directed to your GPO. Examples of DIS can be found on the SAMHSA website at <http://www.samhsa.gov/grants/grants-management/disparity-impactstatement>.

*Service use is inclusive of treatment services, prevention services as well as outreach, engagement, training, and/or technical assistance activities.

The disparity impact statement consists of three components:

1. Proposed number of individuals to be served and/or reached by subpopulations in the grant implementation area should be provided in a table that covers the entire grant period. The disparate population(s) should be identified in a narrative that includes a description of the population and rationale for how the determination was made.

2. A quality improvement plan for how you will use your program (GPRA) data on access, use and outcomes to monitor and manage program outcomes by race, ethnicity and LGBT status, when possible. The quality improvement plan should include strategies for how processes and/or programmatic adjustments will support efforts to reduce disparities for the identified subpopulations.

3. The quality improvement plan should include methods for the development and

implementation of policies and procedures to ensure adherence to the Enhanced Culturally and Linguistically Appropriate Services (CLAS) Standards and the provision of effective care and services that are responsive to:

- a. Diverse cultural health beliefs and practices;
- b. Preferred languages; and
- c. Health literacy and other communication needs of all sub-populations within the proposed geographic region.

All responses to award terms and conditions must be submitted as .pdf documents in the “View Terms Tracking Details” page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading “**4 Additional Materials – grantee**” in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf

SPARS

All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results Act (GPRA) Modernization Act of 2010. These data are collected and reported using SAMHSA's Performance Accountability and Reporting System (SPARS). AOT recipients will be expected to complete Annual Goals and Budget training no later than December 30, 2018, and will be expected to enter Annual Goals and Budget information and data no later than January 30, 2019.

SPECIAL CONDITIONS

Revised Budget

By **October 30, 2018** submit to the Program Official and Grants Management Specialist via eRA Commons:

1. An updated detailed budget broken down by cost categories and line item cost breakdown within each cost category.
2. An updated SF424A Budget form reflecting the updated budget by cost categories in Section B. Also, Section A - "Federal" column total cost must agree with the total cost in Section B.
3. **Funding Limitations/Restriction:** please list amount of total cost for each category:
 - No more than 60 percent of the total grant award may be used for developing the infrastructure necessary for establishment and implementation of an AOT program
 - No more than 20 percent of the grant funds can be used for expansion of treatment and support services
 - No more than 20 percent of the total grant award may be used for data collection, performance measurement, and performance assessment, including incentives for participating in the required data collection follow-up.

Be sure to identify these expenses in your proposed budget.

All responses to award terms and conditions must be submitted as .pdf documents in the “View Terms Tracking Details” page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading “**4 Additional Materials – grantee**” in the User Guide located at:

Unacceptable

By **October 30 2018**, submit via eRA Commons.

The application received an Unacceptable rating for Section D: Staff and Organizational Experience. Reviewers noted the following:

The applicant organization does not adequately discuss its capability and experience with similar projects and populations. Although it discusses experience working in the community and stresses its commitment to working with individuals with SMI, it does not provide specific information on its capacity. It does not demonstrate ties to grassroots and community-based organizations with roots in the cultures and languages of the population of focus beyond describing experience with homeless populations. The applicant organization discusses the capability and experience of two main partners, but it does not describe the capability and experience of many of the partnering organizations for which it includes a letter of commitment, including the 2nd Judicial Circuit Court. Although the applicant organization provides a chart with some brief position descriptions, it does not provide the level of effort for any key staff members. As a result, it is unclear if the project will be successfully implemented. Since the applicant organization does not answer D.5, it does not discuss the experience of key staff members in working with the population of focus or the familiarity of key staff members with the cultures and languages of the population of focus.

To ensure that the recipient meets acceptable standards for this section, by October 30, 2018 you must submit in eRA (SAMHSA's Electronic Research Administration system) for review and approval a written response regarding the following:

- A description of the organization's capacity, capabilities, and experience managing similar projects and populations.
- A detailed description of the organization's ties to grassroots and community-based organizations with roots in the cultures and languages of the population of focus.
- A description of the capability and experience of all partnering organizations for which a Letter of Commitment was submitted with the application.
- A description of the level of effort for all key staff members.
- A description of the experience of key staff members in working with the population of focus.
- A description of the familiarity of key staff members with the cultures and languages of the population of focus.

All responses to award terms and conditions must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading "**4 Additional Materials – grantee**" in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf

Marginal

By **October 30, 2018** submit via eRA Commons.

The application also received a Marginal rating for Section E: Data Collection and Performance Measurement. Reviewers noted the following:

The applicant organization states that it will obtain human subject approval, but it does not describe how it will evaluate the process for implementing the program to ensure consistency with the needs of the patient or with state and federal laws. Although the applicant organization identifies some health and social outcomes it will measure, it does not discuss how often it will measure these beyond stating it will measure these at intake. It does not identify the methods it will use for qualitative analysis of the information from participant interviews. Additionally, the applicant organization does not discuss stakeholder interviews or evaluation of meetings, both of which would lend to the evaluation of program implementation strategies. Furthermore, the applicant organization does not discuss a plan for reporting data. Finally, it does not describe the process for making adjustments, nor does it explore how it will involve family members, participants, advocates, and courts in the process.

To ensure that the recipient meets acceptable standards for this section, by October 30, 2018 you must submit in eRA (SAMHSA's Electronic Research Administration system) for review and approval a written response regarding the following:

- A description of the process to be used for implementing the program to ensure consistency with the needs of patients and with state and federal laws.
- A description of how often data will be collected for all outcome performance measures.
- A description of the methods to be used for qualitative analysis of information collected from participant interviews.
- A description of how stakeholder interviews and meeting evaluations will contribute to the evaluation of program implementation strategies.
- A detailed plan for reporting all data.
- A description of the process to be used to make adjustments in performance evaluation efforts.
- A description of how family members, advocates, and the court will be involved in the performance assessment process.

All responses to award terms and conditions must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading "**4 Additional Materials – grantee**" in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Grantee.pdf

Other

By **October 30, 2018** submit to the Program Official and Grants Management Specialist via eRA Commons:

Key Personnel:

1. Identity and submit resume and level of effort for the unidentified Evaluator as listed above.
2. Clarify unspecified level of effort for the identified Key Staff.

All responses to award terms and conditions must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons.

For more information on how to upload a document in response to a tracked term, please reference under heading "**4 Additional Materials – grantee**" in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Grantee.pdf

STANDARD TERMS AND CONDITIONS

Standard Terms for Awards FY 2018

Your organization must comply with the Standard Terms and Conditions for grants awarded in Fiscal Year 2018 and the following award terms applicable to your award type as identified below:

* New Grant

SAMHSA's Terms and Conditions Webpage is located at:

<https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Annual Programmatic Progress Report

Submission of an Annual Programmatic Report is due no later than **December 30, 2019**.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

The response to this term must be submitted as .pdf documents in the "View Terms Tracking Details" page in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.

For more information on how to upload a document in response to a tracked term, please reference under heading "**4 Additional Materials – grantee**" in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Annual Federal Financial Report (SF-425)

The Federal Financial Report (FFR) (SF-425) is required on an annual basis and must be submitted no later than 90 days after the end of the budget period. The annual FFR should reflect only cumulative actual Federal funds authorized and disbursed, any non-Federal matching funds (if identified in the Funding Opportunity Announcement (FOA)), unliquidated obligations incurred, the unobligated balance of the Federal funds for the award, as well as program income generated during the timeframe covered by the report. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

FFR reporting must be entered directly into the eRA Commons system. Instructions on how to submit a Federal Financial Report (FFR) via the eRA Commons is available at <https://www.samhsa.gov/sites/default/files/samhsa-grantee-submit-ffr-10-22-17.pptx>.

Compliance with Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY

RESULT IN ACTIONS IN ACCORDANCE WITH 45 CFR 75.3 71, REMEDIES FOR NON-COMPLIANCE AND 45 CFR 75.372 TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Mariam Chase, Program Official

Phone: (240) 276-1904 **Email:** Mariam.Chase@samhsa.hhs.gov

Sarah Dayhoff, Grants Specialist

Phone: (240) 276-1688 **Email:** Sarah.Dayhoff@samhsa.hhs.gov **Fax:** (240) 276-1420



Notice of Award

Issue Date: 09/27/2018

AOT
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Center for Mental Health Services

Grant Number: 3H79SM063539-01S1

FAIN: H79SM063539

Program Director: Ellen Braden

Project Title: Albuquerque/Bernalillo County Assisted Outpatient Treatment (AOT) Project

Grantee Address	Business Address
CITY OF ALBUQUERQUE Carol Pierce Family & Community Services 400 Marquette NW Albuquerque, NM 871022117	Sarita Nair Family & Community Services Director City of Albuquerque 400 Marquette NW Suite 504 Albuquerque, NM 871022117

Budget Period: 09/30/2018 – 09/29/2019

Project Period: 09/30/2018 – 09/29/2022

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a supplement in the amount of \$25,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CITY OF ALBUQUERQUE in support of the above referenced project. This award is pursuant to the authority of Section 224 of PAMA and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Roger George
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 3H79SM063539-01S1**Award Calculation (U.S. Dollars)**

Other	\$982,625
Direct Cost	\$982,625
Approved Budget	\$982,625
Federal Share	\$982,625
Cumulative Prior Awards for this Budget Period	\$957,625
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$25,000

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$982,625
2	\$926,436
3	\$930,930
4	\$937,938

*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.997
EIN:	1856000102B1
Document Number:	16SM63539A
Fiscal Year:	2018

IC	CAN	Amount
SM	C96J670	\$25,000

IC	CAN	2018
SM	C96J670	\$25,000

SM Administrative Data:

PCC: AOT / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 3H79SM063539-01S1

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 3H79SM063539-01S1

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM Special Terms and Conditions – 3H79SM063539-01S1

REMARKS

Technical Assistance Supplement

This notice of award provides supplemental funding in the amount of \$25,000 in the "Other" cost category of federal funding for the purchase of Technical Assistance (TA) or for other allowable activities as outlined in the FOA of your grant award.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

SPECIAL CONDITIONS

Revised Budget (\$25k Supplement)

By **November 14, 2018**, you must submit a revised SF-424A - BUDGET INFORMATION – Non Construction Programs and budget narrative/justification clearly indicating how you will use the funding.

- The \$25,000 supplemental funds should be clearly itemized in appropriate budget categories of the SF-424A. The budget narrative/justification should state how the funding will be used. If any portion of the funding is used for the purchase of TA utilizing a contract, clearly articulate who the contract is with and the activities/services they will provide.

The SF-424A BUDGET INFORMATION - Non-Construction Programs can be found at:
<https://apply07.grants.gov/apply/forms/sample/SF424A-V1.0.pdf>

A Sample Budget Narrative and Justification can be found in Appendix of the FOA for your grant award.

Upload the completed .pdf of the SF-424A and the budget narrative/justification to the "View Terms Tracking Details" page in eRA Commons.

Staff Contacts:

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